

08/03/00

Atty. Docket No. 1701.00021
Customer No. 22907

Director of Patents
Box Patent Applications
Washington, D.C. 20231

Enclosed herewith is a continuing patent application and the following papers:

First Named Inventor (or application identifier): Yusuke Kohyama

Title of Invention: STACKED CAPACITOR-TYPE SEMICONDUCTOR STORAGE DEVICE
AND MANUFACTURING METHOD THEREOF

- ☐ Continuation
☒ Divisional
☐ Continuation-in-Part

of prior application No. 08/720,032, filed September 27, 1996

1. ☒ Specification 37 pages (including specification, claims, abstract)
2. ☒ Declaration/Power of Attorney:
 - ☒ Copy from Prior Application (for continuation or divisional application)
 - ☐ Newly Executed Declaration (for CIP application)
 - ☐ Deferred under 37 C.F.R. § 1.53(f)
 - ☐ Deletion of Inventor(s) - Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
 - ☒ Incorporation by Reference - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein
3. ☒ 13 Distinct sheets of ☒ Formal ☐ Informal Drawings
4. ☒ Preliminary Amendment
5. ☒ Information Disclosure Statement
 - ☒ Form 1449
 - ☐ A copy of each cited prior art reference
6. ☒ Assignment
 - ☐ Assignment with Cover Sheet attached
 - ☒ Assignment filed in prior application. Application assigned to: **Kabushiki Kaisha Toshiba**

UNITED STATES CONTINUING UTILITY PATENT APPLICATION
under 37 C.F.R. § 1.53(b)

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7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
Japan	7-254218	29 September 1995

8. ☐ Priority document(s)
9. ☐ Small Entity Statement
- ☐ Small Entity Statement was filed in prior application, Small Entity Status is still proper and desired
- ☐ is attached
- ☐ is no longer claimed
10. ☐ Microfiche Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission
- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
12. Calculation of Fees after entry of Preliminary Amendment:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			
			\$690.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	0	18.00	\$0.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	0	78.00	\$0.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Subtotal - Filing Fee Due			\$690.00
	MULTIPLY BY		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0		\$0.00
TOTAL FILING FEE DUE			\$690.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0	40.00	\$0.00
GRAND TOTAL DUE			\$690.00

0-67318-00-3

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Date: August 3, 2000

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